



Form ADV Part 2B Brochure Supplement

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## **McMorgan & Company LLC**

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Ken Tsuboi, CFA

This brochure supplement provides information about Ken Tsuboi that supplements the McMorgan & Company LLC brochure. You should have received a copy of that brochure. If you did not receive our firm brochure or you have any questions about the contents of this brochure supplement please contact:

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*The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. In addition, registration as an investment adviser does not imply a certain level of skill or training. Additional information about McMorgan & Company LLC and its supervised persons is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

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Ken Tsuboi, CFA, Senior Managing Director, Chief Investment Officer

**Educational Background and Business Experience:** Mr. Tsuboi joined McMorgan in 2020 and serves as Senior Managing Director, Chief Investment Officer. Mr. Tsuboi assumed all investment responsibilities (other than for the McMorgan Equity Team – See below), including McMorgan’s investment strategy and platform, with a particular focus on monitoring our sub-advisors and the management and monitoring of client portfolios on the firm’s Managed Account Platform. Mr. Tsuboi also leads the firm’s capital markets and tactical asset allocation research as well as manager research / due diligence on traditional and alternative asset managers. Mr. Tsuboi has over 30 years of investment industry experience having served in various senior portfolio management and client service positions. His prior experience includes six years as a Managing Senior Consultant at Alan Biller and Associates, eighteen years as a Portfolio Manager and US Healthcare Sector Head at Allianz Global Investors and five years as Investment Strategist/ Director of Corporate Bond Research at Payden & Rygel.

Mr. Tsuboi holds a Bachelor’s degree from UC Berkeley and an MBA from UCLA Anderson School of Management. He is also a CFA Charterholder and Chartered Investment Counselor.

**Explanation of Professional Designation:**

The Chartered Financial Analyst (CFA) charter is a globally recognized, graduate-level investment credential established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

**Year of birth:** 1960

**Disciplinary Information:** McMorgan is required to disclose all material facts regarding any legal or disciplinary events that would materially impact a client’s evaluation of Mr. Tsuboi. Mr. Tsuboi has no legal or disciplinary events to report.

**Outside Business Activities:** McMorgan is required to disclose any outside business activities or occupations for compensation that could potentially create a conflict of interest with clients. Mr. Tsuboi is not engaged in any such activities.

**Additional Compensation:** Mr. Tsuboi does not receive economic benefits for providing advisory services, other than the regular compensation paid by McMorgan, which includes discretionary bonus which may, in part, be based on investment performance of McMorgan's Managed Account Platform.

**Supervision:** John Santaguida, Chief Executive Officer is Mr. Tsuboi's supervisor. Mr. Santaguida oversees Mr. Tsuboi's investment management activities through periodic review meetings regarding investment management activities, and performance reviews. Mr. Santaguida also reviews periodic reporting of sub-advisor and mutual fund allocation activities provided by Mr. Tsuboi and Compliance. Mr. Santaguida can be reached at (415) 616-9347.